

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA**

Case No: 3:18-cr-00577-CRB-1

Case Name: USA v. Michael Richard Lynch
USA v. Stephen Keith Chamberlain

TRIAL SHEET, EXHIBIT and WITNESS LIST

JUDGE:	PLAINTIFF ATTORNEY:	DEFENSE ATTORNEY:
Charles R. Breyer	Adam Reeves, Kristina Green, Robert Leach, Zachary Abrahamson	Gary Lincenberg, Michael Landman, Ray Seilie (Chamberlain) Christopher J. Morvillo Celeste Koeleveld Brian Heberlig Jonathan Baum Daniel Silver Michelle Levin (Lynch)
TRIAL DATE:	REPORTER(S):	CLERK:
May 15, 2024	Rhonda Aquilina Jennifer Coulthard	Lashanda Scott

PLF NO.	DEF NO.	DATE/TIME OFFERED	ID	REC	DESCRIPTION	BY
		9:18 a.m.			Judge cried-in. Court Reporter – Rhonda Aquilina. All jurors present. All parties present. Government direct examination of Steven Brice	
17499			X		Exhibit 17499 pages 1, 2, 4-13, 15-17 marked (Demonstrative)	
11665			X	X	Exhibit 11665 marked and admitted Quarterly revenue breakdowns from Q1 2009 - Q2 2011	
11643			X	X	Exhibit 11643 marked and admitted FY 2009 General Ledger	
11644			X	X	Exhibit 11644 marked and admitted FY 2010 General Ledger	
11645			X	X	Exhibit 11645 marked and admitted FY 2011 General Ledger	
16394			X	X	Exhibit 16394 marked and admitted Capax Discovery bill to Zantaz, Inc. for "Specialized EDD Processing"	
16317			X	X	Exhibit 16317 marked and admitted Capax invoice to Zantaz, Inc. for "Specialized EDD processing"	
16318			X	X	Exhibit 16318 marked and admitted	

					Paid 7/10/2009 invoice to Zantaz, Inc. for "Specialized EDD processing"	
16319			X	X	Exhibit 16319 marked and admitted Paid 7/31/2009 invoice to Zantaz, Inc. for "Specialized EDD processing"	
16964			X	X	Exhibit 16964 marked and admitted Autonomy invoice to Zantaz (\$250k) (Invoice # 8)	
16965			X	X	Exhibit 16965 marked and admitted Autonomy invoice to Zantaz (\$500k) (Invoice # 10)	
17299			X	X	Exhibit 17299 marked and admitted	
16307			X	X	Exhibit 16307 marked and admitted Capax Activity Report	
16795			X	X	Exhibit 16795 marked and admitted Exhibit B from Autonomy Value Added Reseller Agreement from Capax Discover to Autonomy, Inc	
17499			X		Exhibit 17499 pages 19, 20, 21 marked (Demonstrative)	
15879			X		Exhibit 15879 previously admitted	
17499			X		Exhibit 17499 pages 23, 24, 27, 29 marked (Demonstrative)	
364			X		Exhibit 364 previously admitted	
16670			X	X	Exhibit 16670 marked and admitted Email from UffelmanA to KrakoskiJ RE: Autonomy proposal	
16458			X	X	Exhibit 16458 marked and admitted Citadel Digital Safe License and Subscription Services Agreement	
16785			X	X	Exhibit 16785 marked and admitted Email from ChangM to RhodesK et al re Autonomy Revised Pricing	
9523			X	X	Exhibit 9523 marked and admitted Excel File, Cost Savings Available to JPMC, Summary of Cost Savings 7 Year	
16670			X	X	Exhibit 16670 marked and admitted Email from UffelmanA to KrakoskiJ RE: Autonomy proposal	
16458			X	X	Exhibit 16458 marked and admitted Citadel Digital Safe License and Subscription Services Agreement	
16785			X	X	Exhibit 16785 marked and admitted Email from ChangM to RhodesK et al re Autonomy Revised Pricing	
9523			X	X	Exhibit 9523 marked and admitted Email from Poppy Prentis to Chris Goodfellow fw JPMC	
16659			X	X	Exhibit 16659 marked and admitted	

					Email from KrakoskiJ to Mooney M FW: Autonomy - IBM (for Ameriprise Financial) Proposal Detail – thru March 31, 2014 v1.xlsx	
16669			X	X	Exhibit 16669 marked and admitted Autonomy Digital Safe Service Charles Schwab	
16676			X	X	Exhibit 16676 marked and admitted Email from EganS to HussainS FW: Getting back to you, attaching Autonomy Morgan Stanley Model 12 23 2009 Exercise Final	
16983			X	X	Exhibit 16983 marked and admitted Email from Poppy Prentis to Chris Goodfellow re: JPMC	
16793			X	X	Exhibit 16793 marked and admitted Comparison, Bank-Vs-Autonomy, Payment Summary, Graphs, and Executive Summary spreadsheets	
16840			X	X	Exhibit 16840 marked and admitted Spreadsheet - Deutsche Bank Proposal, Executive Summary, Cash Basis, Accrual Basis, Assumptiona	
16846			X	X	Exhibit 16846 marked and admitted Input and Assumptions Spreadsheet	
16609			X	X	Exhibit 16609 marked and admitted Email from EganC to SassR, ChamberlainS, and HussainS re "RE: Important: Metlife"	
17499			X		Exhibit 17499 pages 29, 34, 35, 36-48, 50, 51, 56 (1006 Summary Chart admitted), 58 Marked (Demonstrative)	
11640			X	X	Exhibit 11640 marked and admitted Documentation confirming delivery of Hitachi Data Systems hardware to Morgan Stanley	
11655			X	X	Exhibit 11655 marked and admitted Inovice issued by Hitachi Data Systems Corporation to Autonomy for purchase of Hitachi AMS Storage Solutions (\$5,997,303)	
11641			X	X	Exhibit 11641 marked and admitted Documentation confirming shipment of hardward to Morgan Stanley	
17499			X		Exhibit 17499 pages 58, 59, 61-66, 68 (1006 Summary Chart admitted), 69, 70, 71, 72 marked (Demonstrative)	
	10:19 a.m.				Court in recess	
	10:46 a.m.				Court reconvened. Court Reporter – Rhonda Aquilina. All jurors present. All parties present. Defense (Lynch) cross-examination of witness Steven Brice	
	9042		X		Exhibit 9042 marked	
	9056		X		Exhibit 9056 marked	

	9041		X		Exhibit 9041 marked	
	9038		X		Exhibit 9038 marked	
	17499		X		Exhibit 17499 page 21 (Demonstrative)	
	230		X	X	Exhibit 230 marked and admitted Note to Audit Committee from Hussain: Q3 2009 results analysis	
	17499		X		Exhibit 17499 page 21 (Demonstrative)	
	8863		X	X	Exhibit 8863 marked and admitted Email from FielerS to KeversC; FallonC, re: "Re: Tesla earnings surprise data"	
	4830		X		Exhibit 4830 previously admitted	
	17499		X		Exhibit 17499 page 53 (Demonstrative)	
	7788		X		Exhibit 7788-223 marked (Demonstrative)	
		11:59 a.m.			Jurors on break. Discussion re testimony of Mr. Brice and presentation of evidence to the jurors. Discussion re Agent's testimony. Defense (Chamberlain) Motion for a Mistrial.	
		12:18 p.m.			Court in recess	
		12:54 p.m.			Court reconvened. Court Reporter – Jennifer Coulthard. All jurors present. All parties present. Defense (Lynch) cross-examination of witness Steven Brice	
	17499		X		Exhibit 17499 page 50 (Demonstrative)	
	6737		X		Exhibit 6737 page 8 and page 11 (Demonstrative)	
	16992		X		Exhibit 16992 pages 15-17 marked	
	17499		X		Exhibit 17499 page 29, 32, 33 marked (Demonstrative)	
	6737		X		Exhibit 6737 page 7 and page 8 marked	
		2:18 p.m.			Jurors on break. Defense counsel request to voir dire Mr. Brice re what documentation and witness testimony he reviewed prior to today's testimony.	
		2:37 p.m.			Court in recess	
		2:51 p.m.			Court reconvened. Court Reporter – Jennifer Coulthard. Jurors not present. The Court will allow defense counsel to voir dire Mr. Brice at the end of the day.	
		2:53 p.m.			All jurors present. All parties present. Defense (Lynch) cross-examination of witness Steven Brice	
	16992		X		Exhibit 16992 page 18, 19, 20, 21 marked	
	38		X	X	Exhibit 38 marked and admitted Excel Workbook: Capax EDD Revenue Testing	
	8821		X		Exhibit 8821 previously admitted	
	17499		X		Exhibit 17499 page 31 marked (Demonstrative)	

	7778		X		Exhibit 7778 Slides 147, 148 marked (Demonstrative)	
	9050		X	X	Exhibit 9050 marked and admitted Autonomy Digital Safe Service Charles Schwab	
	16664		X	X	Exhibit 16664 marked and admitted Schwab and Zantaz	
	17499		X		Exhibit 17499 page 37 (Demonstrative)	
	16986	3:43 p.m. 4:18 p.m.			Jurors excused until May 16, 2024 at 9:15 a.m. Defense (Lynch) voir dire Mr. Brice re the source of the materials and witness testimony Exhibit 16986 – Expert Report Defense request to strike Mr. Brice’s testimony re “witness testimony.” Discussion re “FRC.” Court adjourned until May 16, 2024 at 9:15 a.m.	